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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/687,993	10/20/2003	Ok Byung Kim	1514.1031	1374
49455	7590	08/14/2006	EXAMINER	
STEIN, MCEWEN & BUI, LLP 1400 EYE STREET, NW SUITE 300 WASHINGTON, DC 20005			RIELLEY, ELIZABETH A	
			ART UNIT	PAPER NUMBER
			2879	

DATE MAILED: 08/14/2006

Please find below and/or attached an Office communication concerning this application or proceeding.

**Office Action Summary**

Application No.

10/687,993

Applicant(s)

KIM ET AL.

Examiner

Elizabeth A. Rielley

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-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

**Period for Reply**

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

**Status**

- 1) ☒ Responsive to communication(s) filed on 18 July 2006.
- 2a) ☐ This action is **FINAL**. 2b) ☒ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

**Disposition of Claims**

- 4) ☒ Claim(s) 1-5,7,8,11 and 12 is/are pending in the application.
- 4a) Of the above claim(s) \_\_\_\_\_ is/are withdrawn from consideration.
- 5) ☐ Claim(s) \_\_\_\_\_ is/are allowed.
- 6) ☒ Claim(s) 1-5,7,8,11 and 12 is/are rejected.
- 7) ☐ Claim(s) \_\_\_\_\_ is/are objected to.
- 8) ☐ Claim(s) \_\_\_\_\_ are subject to restriction and/or election requirement.

**Application Papers**

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☒ The drawing(s) filed on 20 October 2003 is/are: a) ☒ accepted or b) ☐ objected to by the Examiner.  
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).  
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

**Priority under 35 U.S.C. § 119**

- 12) ☒ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☒ All b) ☐ Some \* c) ☐ None of:
1. ☒ Certified copies of the priority documents have been received.
  2. ☐ Certified copies of the priority documents have been received in Application No. \_\_\_\_\_.
  3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

\* See the attached detailed Office action for a list of the certified copies not received.

**Attachment(s)**

- 1) ☒ Notice of References Cited (PTO-892)
- 2) ☐ Notice of Draftsperson's Patent Drawing Review (PTO-948)
- 3) ☐ Information Disclosure Statement(s) (PTO-1449 or PTO/SB/08)  
Paper No(s)/Mail Date \_\_\_\_\_
- 4) ☐ Interview Summary (PTO-413)  
Paper No(s)/Mail Date. \_\_\_\_\_
- 5) ☐ Notice of Informal Patent Application (PTO-152)
- 6) ☐ Other: \_\_\_\_\_

## DETAILED ACTION

### *Response to Amendment*

Amendment filed 7/18/06 has been entered and considered by the Examiner. Currently, claims 1-5, 7, 8, 11, and 12 are pending in the instant application.

Applicant's request for reconsideration of the finality of the rejection of the last Office action is persuasive and, therefore, the finality of that action is withdrawn.

### *Claim Rejections - 35 USC § 103*

The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

Claims 1-5, 7, 8, 11, and 12 are rejected under 35 U.S.C. 103(a) as being unpatentable over Mitnaga et al (US 5923997) in view of Iwasaki (US 5759879).

In regard to claims 1 and 12, Mitnaga et al ('997) teach a display device with a polysilicon substrate (250; figures 3b and 5a; column 13 line 47 to column 14 line 55), comprising: a display region (PTFT, 111, 133; figure 2; column 13 line 11-column 14 line 55) and a driving region (NTFT; figure 2; column 13 line 11 – column 14 line 55; claims 10-15); a first plurality of thin film transistors in the display region (PTFT; figure 2); a second plurality of thin film transistors (NTFT) and primary crystal

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grain boundaries (216; figure 5B; column 14 lines 55-65) in the polysilicon substrate in the display region and in the driving region (claim 10); secondary crystal grain boundaries in the polysilicon substrate in the display region and the driving region (claim 10 states that both active regions have at least one grain boundary, claims 13 and 14 teach that the TFTs are used for both a display region and a driver region); wherein the primary crystal grain boundaries are inclined to a first direction of current flowing from source (208) to drain (210) of each of the first plurality of thin film transistors at an angle of  $-30^{\circ}$  to  $30^{\circ}$  (figure 5b; column 14 line 56 to column 15 line 4; claim 10, the grain boundaries of the first active region are parallel to the direction of current, thereby making that angle  $0^{\circ}$ ); and wherein the primary crystal grain boundaries are inclined to a second direction of current flowing from source to drain of each of the second plurality of thin film transistors at an angle of  $30^{\circ}$  to  $150^{\circ}$  (claim 10; lines 47- column 16 line 17; claim 10 states that the crystal grain boundaries in the second region are perpendicular to the second current in the second TFT – the first current being in the first TFT – these primary crystal grain boundaries in the second region being perpendicular to the current would then be at an angle of  $90^{\circ}$ ). Mitnaga et al ('997) are silent regarding the limitations of the secondary crystal grain boundaries are inclined to a second direction of current flowing from source to drain of each of the first plurality of thin film transistors, and the secondary crystal grain boundaries are inclined to the first direction of the current flowing from source to drain of each of the second plurality of thin film transistors.

In the same field of endeavor, Iwasaki ('879) teaches a display device with comprising well known crystal grain boundaries of TFTs (figure 7a). Iwasaki teaches that it was known in the art to grow secondary crystal grain boundaries inclined to a second direction of current flowing from source to drain of each of a first plurality of thin film transistors, and the secondary crystal grain boundaries are inclined to the first direction of the current flowing from source to drain of each of the second plurality of thin film transistors (figures 7a and 7b; column 1 lines 49 to 55; since the terms “primary” and “secondary”

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are numerical, that is, teach that there are two different sets of grain boundaries, the left hand side of figure 7a describes the first plurality of thin film transistors and the right hand side describes the second plurality of thin film transistors). One skilled in the art would reasonably contemplate incorporating the grain boundaries of Iwasaki with the device of Mitnaga et al ('997), as an obvious matter of design engineering as evidenced by Iwasaki ('879). Applicant's claimed material does not provide unexpected results that are not within the teaching applied, since both the grain boundary configurations in Mitnaga and Iwasaki as well as the grain boundaries disclosed by the Applicant perform the same function of controlling the carrier mobility of the TFT's (Iwasaki column 1 lines 29-40). Thus, it would have been obvious at the time of the invention to one of ordinary skill in the art to incorporate the grain boundary configurations of Iwasaki with the TFT in the display device as taught by Mitnaga et al. Motivation to combine would be to control the carrier mobility of the TFT's within a display device.

In regard to claim 2, Mitnaga et al ('997) teach the primary crystal grain boundaries (216) are parallel to the first direction of current (column 14 lines 48-55).

In regard to claim 3, Mitnaga et al ('997) teaches a first number of the primary crystal grain boundaries exist in active channel regions of each of the first plurality of thin film transistors (column 13 line 66 to column 14 line 12).

In regard to claim 4, Mitnaga/Shimizu disclose all the limitations set forth, as described above, except the display device is an OLED. The Applicant, however, states that using TFTs in organic electroluminescent displays is known in the art in paragraph 9. The MPEP states that "[w]here the specification identifies work done by another as "prior art," the subject matter so identified is treated as admitted prior art. In re Nomiya, 509 F.2d 566, 571, 184 USPQ 607, 611 (CCPA 1975). Thus, it would

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have been obvious at the time of the invention to one of ordinary skill in the art to use the polysilicon substrate of Mitnaga/Shimizu in and OLED. Motivation for combining would be to fabricate an active matrix display.

In regard to claim 5, the Applicant is claiming a display device including a method (i.e.: process) of making the polysilicon substrate; consequently, claim 5 is considered a “product-by-process” claim. In spite of the fact that a product-by-process claim may recite only process limitations, it is the product and not the recited process that is covered by the claim. Further, patentability of a claim to a product does not rest merely on the difference in the method by which the product is made. Rather, it is the product itself, which must be new and not obvious (see MPEP 2113). Hence, Mitnaga et al (‘997) disclose of a polysilicon substrate meets the structural limitation of the claimed invention.

In regard to claim 7, Mitnaga et al (‘997) teach the primary crystal grain boundaries are perpendicular to the second direction of current (column 15 line 48-column 16 line 18).

In regard to claim 8, Mitnaga et al (‘997) teach second number of the primary crystal grain boundaries exist in active channel regions of each of the second plurality of thin film transistors (column 15 line 48-column 16 line 18).

In regard to claim 11, Mitnaga et al (‘997) teach a display device with a polysilicon substrate comprising: a driving region (claim 13); a plurality of thin film transistors in the driving region (claim 10); and primary crystal grain boundaries in the polysilicon substrate in the driving region (claim 10); and secondary primary crystal grain boundaries in the polysilicon substrate in the driving region (claim 10). Mitnaga et al are silent regarding the limitations of wherein the primary crystal grain boundaries are inclined to a direction of current flowing from source to drain of each of the plurality of thin film

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transistors at an angle of 30° to 150° and the secondary crystal grain boundaries are substantially parallel to the current flowing from the source to the drain.

In the same field of endeavor, Iwasaki ('879) teaches a display device with comprising well known crystal grain bundles of TFTs (figure 7a). Iwasaki teaches it is known in the field to grow primary crystal grain boundaries inclined to a direction of current flowing from source to drain of each of the plurality of thin film transistors at an angle of 30° to 150° and the secondary crystal grain boundaries grown substantially parallel to the current flowing from the source to the drain (figures 7a and 7b; column 1 lines 49 to 55; since the terms "primary" and "secondary" are numerical, that is, teach that there are two different sets of grain boundaries, the left hand side of figure 7a describes the first plurality of thin film transistors and the right hand side describes the second plurality of thin film transistors). One skilled in the art would reasonably contemplate incorporating the grain boundaries of Iwasaki with the device of Mitnaga et al ('997), as an obvious matter of design engineering as evidenced by Iwasaki ('879). Applicant's claimed material does not provide unexpected results that are not within the teaching applied, since both the grain boundary configurations in Mitnaga and Iwasaki as well as the grain boundaries disclosed by the Applicant perform the same function of controlling the carrier mobility of the TFT's (Iwasaki column 1 lines 29-40). Thus, it would have been obvious at the time of the invention to one of ordinary skill in the art to incorporate the grain boundary configurations of Iwasaki with the TFT in the display device as taught by Mitnaga et al. Motivation to combine would be to control the carrier mobility of the TFT's within a display device.

### ***Response to Arguments***

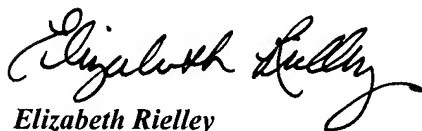
Applicant's arguments with respect to claims 1-5, 7, 8, 11, and 12 have been considered but are moot in view of the new ground(s) of rejection.

*Conclusion*

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Elizabeth A. Rielley whose telephone number is 571-272-2117. The examiner can normally be reached on Monday - Friday 7:30 - 4:00.


If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Nimeshkumar Patel can be reached on 571-272-2457. The fax phone number for the organization where this application or proceeding is assigned is 703-872-9306.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).



*Elizabeth Rielley*

*Examiner*  
*Art Unit 2879*



MARICELI SANTIAGO  
PRIMARY EXAMINER